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SCHWAB



Key information about  
your account transfer.



Scan the QR  
code to visit the  
Advised Client Hub.

Supporting your relationship with your advisor is what we do best. We're here to provide a great custody experience along with products and services for safeguarding your assets and meeting your investment goals.

To make sure you're up and running quickly after the transition, we've put together an at-a-glance guide of action steps and key dates to help you plan.

## What you need to know.

<b>Your relationship with your advisor</b>	<b>Your relationship with your advisor</b> won't change. Your advisor will continue to support you as they always have, offering you the same service and expertise you currently enjoy. We're here to support that relationship.
<b>Moving your assets</b>	<b>Schwab will automatically transfer your assets</b> and holdings from <b>TD Ameritrade Institutional</b> . There's nothing you need to do.
<b>Transfer timing and account access</b>	<b>Starting at 8:30 p.m. ET on Friday, September 1, 2023</b> , you won't be able to access your TD Ameritrade Institutional account while we move your assets and account holdings. <b>Starting at 5:00 a.m. ET on Tuesday, September 5, 2023</b> , you'll be able to log in to Schwab Alliance to access your account.
<b>Your new account number</b>	Your <b>new eight-digit Schwab account number will replace</b> your <b>TD Ameritrade Institutional</b> account number. See the letter accompanying this guide for your new account number.
<b>Standing instructions</b>	Any standing letters of authorization (SLOA) or instructions that you've granted your advisor allowing them to take specific actions for you—such as cash transfers or domestic wires—will transfer to your Schwab account.
<b>Schwab Alliance</b>	<b>Use Schwab Alliance (schwaballiance.com) to access your account.</b> Schwab Alliance is our secure portal that makes it easy for you and your advisor to manage your account(s). <b>Your account history, documents, and statements</b> will be here.
<b>Account statements</b>	<b>You'll receive two statements during your next statement period:</b> one for your TD Ameritrade Institutional account (typically showing a zero balance and no positions) and one for your new Schwab account.
<b>Residual activity</b>	If you have residual activity in your account, such as dividend or interest payments, you will continue to receive a statement from TD Ameritrade Institutional. You can learn more at the Advised Client Hub ( <a href="https://welcome.schwab.com/alliance">welcome.schwab.com/alliance</a> ).

This information applies only to the accounts managed by your independent investment advisor. It does not apply to any Schwab retail account(s) you may hold.

## What you'll need to do.

If you haven't already done so, please make sure you set up Schwab Alliance credentials as soon as possible. This will ensure you can view your accounts and approve any transactions initiated by your advisor.

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### Setting up your Schwab log in credentials

Don't have a Schwab Login ID and password—go to Schwab Alliance ([schwaballiance.com](https://www.schwaballiance.com)) and select **New Schwab user**, then follow the prompts.

Already have a Schwab Login ID and password—then you are all set and can log in using those credentials.

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### View all your accounts in one place

At Schwab, you can view all your accounts in one convenient place. After the transition, use your single Login ID to access accounts managed by your advisor, on your own, or with third parties, including those on which you're an authorized party.

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### Direct deposit and recurring payment instructions

Existing payment instructions for your TD Ameritrade Institutional account will automatically transfer to Schwab. **However, you will need to update direct deposits or recurring payments** set up at other third parties with **your new Schwab account number**.

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### Using your new checks and debit card

If you have actively used checks or debit cards within the past 24 months, you will receive replacements. **After your account transfer is complete, begin using any new checks or debit cards that you receive.** Outstanding checks drawn against your TD Ameritrade Institutional account will continue to be processed for approximately 60 days.

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### Tax information

**You can access your TD Ameritrade 1099 tax forms online at [schwab.com/1099dashboard](https://www.schwab.com/1099dashboard) by September 5, 2023.** You'll be able to import your TD Ameritrade tax form data directly into Turbo Tax<sup>®</sup>, H&R Block<sup>®</sup>, and Tax Act<sup>®</sup> software by using your TD Ameritrade account number and the **Doc ID** found on your tax form.

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### Using the Schwab Mobile app

**Download the Schwab Mobile app.** To download the app, visit the app store for your mobile device and search for **Schwab Mobile**.

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### Moving money at Schwab

**Learn more about cash management and moving money** at Schwab by visiting the Advised Client Hub ([welcome.schwab.com/alliance](https://welcome.schwab.com/alliance)).

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### For questions

**If you have questions or need help with any information provided here or with any step in the transition process,** please contact your advisor or visit the Advised Client Hub ([welcome.schwab.com/alliance](https://welcome.schwab.com/alliance)).

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### Paperless delivery

We encourage you to enroll in paperless delivery. To enroll, log in to Schwab Alliance and select the **Profile** icon on the upper right, then choose **Paperless** from the dropdown menu. Select the **Enroll in Paperless** checkbox next to each document type that you'd like to receive electronically.

## Key dates for planning.\*

Moving TD Ameritrade Institutional accounts to Schwab will mean there's a period of time when you cannot access your accounts—either for TD Ameritrade Institutional or Schwab. **Please note these dates**, which are listed below, and **keep them in mind** as you plan and prepare for the transition.

<b>August 25, 2023</b>	Last day to request an incoming account transfer.
<b>September 1, 2023</b>	After market close (4:00 p.m. ET), all open <b>good-till-canceled/good-till-date</b> orders will be canceled.
<b>September 1, 2023</b>	Last day for <b>initiating money movement or depositing checks, using checks or debit cards, or trading</b> through TD Ameritrade Institutional. <b>Note:</b> You will receive paper trade confirmations for all trades placed on this date, even if you have opted to receive electronic trade confirmations.
<b>September 1–5, 2023</b>	<b>Account information will be unavailable</b> from 8:30 p.m. ET on Friday, September 1, 2023, to 5:00 a.m. ET on Tuesday, September 5, 2023.
<b>September 5, 2023</b>	You will be able to access your account again at 5:00 a.m. ET on the first business day after your account transition is complete.

## We're here to make your transition go as smoothly as possible.

If you have questions at any point or need help, please reach out to your advisor, contact us at **1-800-515-2157**, or visit the **Advised Client Hub** at [welcome.schwab.com/alliance](https://welcome.schwab.com/alliance).

\*Schwab will work diligently to limit any account disruption during this transition. However, such a complex process may result in certain account updates being delayed or not available during the days before and after the transition.

## Move money: check deposit and wire instruction details.



### Mobile deposits

Use the Schwab Mobile app to scan and deposit checks into your account. Download the app by searching for **Schwab Mobile** in your device's app store. Log in using the Schwab Alliance login credentials that you set up in **What you'll need to do**.



### Deposits by ACH or mail

You can establish an ACH connection and fund your account via ACH. If you'd prefer to send a check for deposit to your Schwab account, make the check payable to Schwab, include your new eight-digit Schwab account number on the check, and send it to:

Regular Mail	Overnight Mail
Charles Schwab & Co., Inc. P.O. Box 982603 El Paso, Texas 79998	Charles Schwab & Co., Inc. 1945 Northwestern Drive El Paso, Texas 79912

## Schwab's Direct Deposit Routing Instructions

**Financial Institution's Name:** J.P. Morgan Chase/Account of Charles Schwab

**Address:** 211 Main Street, San Francisco, CA 94105

**Phone:** 1-800-435-4000

**Schwab's Direct Deposit Transit-Routing Number:** 071000013

**Account Number:** 593853800 \_\_\_\_\_ (plus your personal eight-digit Schwab brokerage account number, e.g., 59385380012345678)

**Account Type:** Checking

## Wires

To send a wire, follow the directions below. For proper credit, don't forget to include the eight-digit account number and name(s) listed on the Schwab account.

For institutions	Bank details	Bank address
<b>Inside the U.S.</b> U.S. dollar currency only	Citibank N.A., New York Routing number: 021000089 FBO: Charles Schwab & Co., Inc. Account number: 4055-3953	399 Park Avenue New York, NY 10022
<b>Outside the U.S.</b> U.S. dollar currency only	Bank: Citibank N.A., New York SWIFT ID: CITIUS33 FBO: Charles Schwab & Co., Inc. Account number: 4060-7595	399 Park Avenue New York, NY 10022

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